Indigenous and Northern Affairs Canada

Affaires autochtones et du Nord Canada

FIRST NATIONS INFRASTRUCTURE INVESTMENT PLAN ANNUAL REPORT

***Privacy Act* Statement**

This statement explains the purposes for the collection and use of personal information. Only information needed to respond to program requirements will be requested. Collection and use of personal information are in accordance with the *Privacy Act*. In some cases, information may be disclosed without your consent pursuant to subsection 8(2) of the *Privacy Act*. We will use your personal information in order to respond to your requests and/or to obtain further information for the purpose of supplying public services in capital facilities and maintenance. The collection and use of your personal information are provided to INAC for the Capital Facilities and Maintenance Program and authorized by program specific legislation, and required for your participation. The information collected is described by program specific Personal Information Banks (PIBs) detailed at Info Source (http://www.aadnc-aandc.gc.ca/eng/1100100011039/1100100011040). For further details about applicable legislative authority, PIB description and/or to notify us about incorrect information or to withdraw participation after submitting your information contact your Regional Office. If you require clarification about this Statement, contact our Privacy Coordinator at 1-800-567-9604. For more information on privacy issues and the *Privacy Act* in general, you can consult the Privacy Commissioner at 1-800-282-1376.

Recipient	ICMS Project Number	Regional Project Number	Recipient Project Number	Recipient Priority	Project Name	Project Description/ Comments	Funding Stream	Project Category	NPRF Program Activity	NPRF Priority Ranking	ACRS Project Type	Total Estimated Cost	Total Other Funding Sources	Total Approved Funding Previous Year(s)	Total Approved Funding 2017-2018 (year to date)	Total Funding Request 2017-2018	Total Funding Request 2018-2019	Total Funding Request 2019-2020	Total Funding Request 2020-2021	Total Funding Request 2021-2022	Total Funding Request Years 5+

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Proposed INAC Funding

The following table represents the current Proposed Funding for your existing projects as listed in the Regional First Nation Infrastructure Investment Plan at the time of the export. These are merely **planned** amounts, and are subject to change based on changing regional priorities and available funding. These values do not represent any commitments or guarantee of funding. These values are merely presented for your information and to help you develop your funding request to INAC.

(For reference only)

Integrated Capital Management System Project Number	Project Name	Project Description/Comments	Funding Stream	National Priority Ranking Framework Priority Ranking	Total Estimated Cost	Proposed INAC Funding 2017-2018	Proposed INAC Funding 2018-2019	Proposed INAC Funding 2019-2020	Proposed INAC Funding 2020-2021	Proposed INAC Funding 2021-2022

REFERENCE ONLY

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Priority Asset Condition Reporting System Deficiencies

The following table provides the current health and safety deficiencies for your INAC-funded infrastructure assets, as per the latest Asset Condition Reporting System inspection information available at the time of the export. These deficiencies are presented for your information and action, to support you in addressing priority asset deficiencies while developing your annual work plans and preparing funding requests to INAC. They represent a subset of the total Asset Condition Reporting System deficiencies associated with your infrastructure assets. All Asset Condition Reporting System deficiencies should be addressed in a timely manner. For the complete list of Asset Condition Reporting System deficiencies, please refer to your latest Asset Condition Reporting System Projects Annual Report (DCI 460649). For more information on how to use this Excel worksheet in the preparation of your First Nation Infrastructure Investment Plan Funding Requests, please refer to the Instructions.

Note: Some of the Asset Condition Reporting System asset condition deficiencies listed in the table below may belong to a water or wastewater system. Please note that those **are not** Annual Performance Inspection (API) results. (For reference only)

Band Number	Band Name	Site Number	Site Name	Asset Number and Extension	Asset Name	Deficiency Number	Deficiency Description	Identified Year	Completion Status	Group	Category	Type	Urgency	Remarks and Recommendations

REFERENCE ONLY

Canada

FIRST NATIONS INFRASTRUCTURE INVESTMENT PLAN ANNUAL REPORT

DCI Number/Fiscal Year: 460674 (2017-2018)

Purpose: The First Nations Infrastructure Investment Plan Annual Report, which has replaced the 5-year Capital Plan DCI, is an annual report which details a First Nation community's infrastructure needs, plans and previous year's accomplishments, and now captures projects that cross over fiscal years. This report is developed by First Nations with the support of INAC Regional Offices. An updated report must be prepared once each year before capital funds are released to First Nations.
This report **shall only include** eligible projects under the Capital Facilities and Maintenance Program and fit within the parameters of the National Priority Ranking Framework. The report shall only include the following projects:

- All projects that a First Nation is planning to undertake with their INAC Formula-Based Capital "Band Base" Allocation or the "Block" funding.
- Proposal-based or Formula-based projects towards which a First Nation is seeking INAC funding/contribution. These projects will be assessed regionally against priority and are subject to available funding.
- All Asset Condition Reporting System projects, except for routine maintenance activities (Asset Condition Reporting System - Group 1) which should be addressed as part of your regular annual Operation and Maintenance funding allocations and do not require line by line input in the First Nations Infrastructure Investment Plan. These shall be rolled up into one line item broken out by project category. For more information on the Asset Condition Reporting System Groups and Project Category, please see sections 2.1 and 2.3 of the instructions.
- **Note:** Entries into the First Nations Infrastructure Plan should be based on existing community plans such as comprehensive community plans, asset management plans, and major maintenance requirements in maintenance management plans.

Reporting Period: The previous reporting year, current fiscal year (to-date), remaining fiscal year ending March 31 and the next four years

Due Date: September 30, each year

How to Enter a Project: The table provided in the Reporting Guide is a sample only. INAC regions will be supplying First Nations with a pre-populated First Nation Infrastructure Investment Plan Excel Workbook generated from the Integrated Capital Management System. The worksheet is generated on a recipient-by-recipient basis, with each Band receiving a personalized version for its own projects.

This First Nation Infrastructure Investment Plan Excel Workbook includes three Excel worksheets:

1. Funding Requests: Provides the list of project funding requests that can be modified. New project funding requests may be made beneath the list.

There are two types of projects that INAC considers relevant for the purpose of input into the Funding Requests Excel worksheet:
   a) INAC Proposal-based Projects: These Projects are funded by virtue of application to the Capital Facilities and Maintenance Program. These projects may include funding from other sources including the First Nation's own source revenue, the Province or other sources such as INAC Formula-based Capital or Block.
   b) INAC Formula-based Capital Projects: These projects are undertaken at the First Nation level using funding that is allocated as part of a First Nation's Formula-based Funding or their Block.

Note: It is also important to identify which projects directly respond to an Asset Condition Reporting

System need and to specify whether this project will remediate Health and Safety deficiencies. Please refer to the Priority Asset Condition Reporting System Deficiencies worksheet of the First Nation Infrastructure Investment Plan Excel Workbook which may help you identify and address Priority Asset Condition Reporting System deficiencies that require investment.

2. Proposed INAC Funding: Provides a reference-only display of the current proposed funding amounts for the existing projects as listed in the Regional First Nation Infrastructure Investment Plan at the time the Excel worksheet was exported from the Integrated Capital Management System. This is an optional worksheet to be provided to the First Nation at regional office discretion.

3. Priority Asset Condition Reporting System Deficiencies: Provides a reference-only display of the currently recorded Asset Condition Reporting System Health and Safety deficiencies associated with infrastructure assets, for action and incorporation as projects into Funding Requests, as applicable.

Field Definitions: This information comes from the Integrated Capital Management System (ICMS).

Field	Definition
1. Funding Requests	
(First Nation Infrastructure Investment Plan Excel worksheet)	
Recipient	Recipient is a Band, Tribal Council or an Other Organization.
	Note: This field is pre-populated for existing projects.
Integrated Capital Management System Project Number	ICMS Project Number is the number that ICMS assigns all new projects for project identification.
	Note: This field is pre-populated for existing projects. Leave blank for new projects. This number will be generated when the project is entered into the Integrated Capital Management System.
Regional Project Number	Regional Project Number is the INAC regional office's identification number for the project.
	Note: This field may be used for multi-year projects.
Recipient Project Number	Recipient Project Number is a number assigned by the recipient.
Recipient Priority	Recipient Priority is the priority of the project according to the funding recipient.
Project Name	Project Name is a brief, descriptive name for the project.
Project Description/Comments	Project Description is for additional comments and a more detailed description of the project.
Funding Stream	Funding Stream is used for high-level reporting of the type of investments made.
	Select one of:
	4 - Major Capital
	5 - Minor Capital
	6 - Minor Capital Formula
	7 - Operation and Maintenance
	Major Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or significant repair projects for on-reserve assets, valued above $1.5 million.
	Minor Capital (Proposal-based): Funding for specific proposal-driven

Field	Definition
	construction, acquisition, renovation or minor repair projects for on-reserve assets, valued below $1.5 million. Minor Capital (Formula-based): Funding allocation generated by way of formula given directly to First Nations for the construction, acquisition, renovation or minor repair of on-reserve assets including housing. Operation and Maintenance (Formula-based): Funding allocation generated by way of formula and given to First Nations for the operation and maintenance of existing community infrastructure assets. The level of funding provided to the First Nation varies from 20% to 100% depending on asset type. The Funding Stream is used for high-level reporting on the type of investments made. If the project is annual routine Operation and Maintenance, select "7-Operation and Maintenance". This is determined by formula, with adjustments as required, with support from INAC. If the project requires capital investments, select the applicable Capital option.
Project Category	Project Category indicates what type of infrastructure, assets, or community service is being funded. Select one of: Unreviewed 1 - Connectivity 2 - Contaminated Sites 3 - Electrification and Energy Systems 4 - Fire Protection 5 - Fuel Tanks 6 - Housing 7 - Roads and Bridges 8 - Schools 9 - Solid Waste 10 - Water & Sewer 11 - Other
National Priority Ranking Framework Program Activity	National Priority Ranking Framework Program Activity is the list of projects that support programs related to the National Priority Ranking Framework. Select one of: Unreviewed 1 - Operation and Maintenance; and Health and Safety Repairs 2 - Health and Safety Improvements 3 - Recapitalization and Maintenance 4 - Growth less than 2 years 5 - Growth more than 2 years **Note:** This field is for regional use only.
National Priority Ranking	National Priority Ranking Framework Priority Ranking is derived by the

Field	Definition
Framework Priority Ranking	combination of the Asset Category and National Priority Ranking Framework Program Activity. **Note:** This field is for regional use only.
Asset Condition Reporting System Project Type	Asset Condition Reporting System Project Type is meant to determine if the project is in response to a deficiency noted during an Asset Condition Reporting System inspection. If the project addresses one or many of the Health and Safety deficiencies presented on the "Priority Asset Condition Reporting System Deficiencies", then select "1 - Health and Safety" as the Asset Condition Reporting System Project Type for your project. If the project addresses another type of Asset Condition Reporting System deficiency, select the most appropriate: Not Applicable 1 - Health and Safety 2 - Restore Utility 3 - Arrest Deterioration 4 - Operational 5 - Conform to Code 9 - Missing/Unknown **Note:** This field is pre-populated for existing projects.
Total Estimated Cost	Total Estimated Cost represents the current estimated total project cost regardless of the anticipated source of funding. A number from 0.00 to 999,999,999.99. **Note:** This field is pre-populated for existing projects.
Total Other Funding Sources	Total Other Funding Sources represents the total amount of funding the First Nation anticipates to receive for this project from sources other than INAC. A number from 0.00 to 999,999,999.99. **Note:** This field is pre-populated for existing projects.
Total Approved Funding - Previous Year(s)	Total Approved Funding amount for all the project stages in the previous years for the given budget. A number from 0.00 to 999,999,999.99. **Note:** This field is pre-populated for existing projects.
Total Approved Funding - 2017-2018 (year to date)	Total Approved Funding amount for all the project stages in the current fiscal year for the given budget. A number from 0.00 to 999,999,999.99. **Note:** This field is pre-populated for existing projects.
Total Funding Request - 2017-2018	A number from 0.00 to 999,999,999.99. **Note:** This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2018-2019	A number from 0.00 to 999,999,999.99. **Note:** This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request -	A number from 0.00 to 999,999,999.99.

Field	Definition
2019-2020	**Note:** This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2020-2021	A number from 0.00 to 999,999,999.99. **Note:** This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - 2021-2022	A number from 0.00 to 999,999,999.99. **Note:** This field is mandatory for multi-year projects. Single-year projects are required to provide information only for the year where funding applies.
Total Funding Request - Years 5+	**Note:** This field is pre-populated.
2. Proposed INAC Funding (First Nation Infrastructure Investment Plan Excel worksheet, for reference only)	
Integrated Capital Management System Project Number	Integrated Capital Management System Project Number is the number that Integrated Capital Management System assigns all new projects for project identification.
Project Name	Project Name is a brief, descriptive name for the project.
Project Description/Comments	Project Description is for additional comments and a more detailed description of the project.
Funding Stream	Major Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or significant repair projects for on-reserve assets, valued above $1.5 million. Minor Capital (Proposal-based): Funding for specific proposal-driven construction, acquisition, renovation or minor repair projects for on-reserve assets, valued below $1.5 million. Minor Capital (Formula-based): Funding allocation generated by way of formula given directly to First Nations for the construction, acquisition, renovation or minor repair of on-reserve assets including housing. Operation and Maintenance (Formula-based): Funding allocation generated by way of formula and given to First Nations for the operation and maintenance of existing community infrastructure assets. The level of funding provided to the First Nation varies from 20% to 100%, depending on asset type. The Funding Stream is used for high-level reporting of the type of investments made.
National Priority Ranking Framework Priority Ranking	National Priority Ranking Framework Priority Ranking is derived by the combination of the National Priority Ranking Framework Asset Category and the National Priority Ranking Framework Program Activity.
Total Estimated Cost	Total Estimated Cost represents the current estimated total project cost, regardless of the anticipated source of funding.
Proposed INAC Funding 2017-2018	A number from 0.00 to 999,999,999.99.

Field	Definition
Proposed INAC Funding 2018-2019	A number from 0.00 to 999,999,999.99.
Proposed INAC Funding 2019-2020	A number from 0.00 to 999,999,999.99.
Proposed INAC Funding 2020-2021	A number from 0.00 to 999,999,999.99.
Proposed INAC Funding 2021-2022	A number from 0.00 to 999,999,999.99.
3. Priority Asset Condition Reporting System Deficiencies (First Nation Infrastructure Investment Plan Excel worksheet, for reference only)	
Band Number	The number assigned to the band by INAC.
Band Name	The official name of the band.
Site Number	The number assigned to the site by INAC.
Site Name	The legal name of the site or reserve.
Asset Number, Asset Extension Number	The asset number associated with the Asset Condition Reporting System Project.
Asset Name	The official name of the asset.
Deficiency Number	The number assigned to the deficiency by INAC.
Deficiency Description	Detailed description of the deficiency.
Identified Year	The fiscal year in which the deficiency was first identified.
Completion Status	0 - Outstanding 1 - Completed 2 - Cancelled Only the "Outstanding" deficiencies for your INAC-funded infrastructure assets are displayed on the Priority Asset Condition Reporting System Deficiencies Excel worksheet.
Group	1 - Operations and Maintenance 2 - Maintenance 3 - Other Group 1: Operations and Maintenance projects are projects that are routine maintenance activities and are normally covered by your annual Operations and Maintenance budget. Those should be addressed as part of your regular annual Operations and Maintenance funding allocations and do not require any First Nation Infrastructure Investment Plan input on the Funding Request tab. Group 2: Maintenance projects involve the major repair or replacement of a major component of an asset (e.g., a pump in a water treatment plant) when that component has reached the end of its useful life, or has deteriorated to a state beyond normal. Those projects may require funding; if this is the case, please add new rows on the Funding Request Tab. One row (Asset Condition Reporting System project funding request) can cover multiple deficiencies. Group 3: Other projects consist of studies to resolve certain technical problems, projects for the complete replacement or reconstruction of a

Field	Definition
	system, upgrades that may be required due to the ineffectiveness of the existing system to deliver the required service. Those projects may require funding; if this is the case, please add new rows on the Funding Request Tab. One row (Asset Condition Reporting System project funding request) can cover multiple deficiencies.
Category	1 - Minor Repairs 2 - Major Repairs 3 - Component Replacement 4 - Study 5 - Asset Replacement 6 - Asset Upgrade
Type	1 - Health and Safety 2 - Restore Utility 3 - Arrest Deterioration 4 - Operational 5 - Conform to Code Only the "Health and Safety" Asset Condition Reporting System deficiencies associated with your infrastructure assets appear on this worksheet. These represent priority deficiencies to be addressed, and that are brought to your attention for action. To get the complete list of Asset Condition Reporting System deficiencies please refer to your latest Asset Condition Reporting System Projects Annual Report.
Urgency	0 - Immediate 1 - Current Fiscal Year + 1 year 2 - Current Fiscal Year + 2 years 3 - Current Fiscal Year + 3 years 4 - Current Fiscal Year + 4 years
Remarks and Recommendations	Any remarks regarding asset deficiencies or recommendations.