# YUKON LIQUOR BOARD POLICY MANUAL TABLE OF CONTENTS

SUBJECT	TAB
INTRODUCTION	
BARRING ORDERS	1
ADVERTISING BY LICENSEES	2
CHANGES TO LICENSED PREMISES THAT REQUIRE A NEW	3
APPLICATION	
FIT PERSON (P.H.R. AND R.C.M.P. INFORMATION FORM)	4
LIQUOR LICENCE RENEWAL	5
NEW YEAR'S EVE	6
OFF-PREMISES LIQUOR LICENCE	7
SPECIAL OCCASION AND RECEPTION PERMITS	8
RESTRICTED OR EXOTIC ENTERTAINMENT	9
SPECIAL LIQUOR LICENCE	10
SUSPENSION AND CANCELLATIONS OF LICENCES AND	11-A
PERMITS	
LIQUOR BOARD MEETINGS, HEARINGS AND DECISIONS	11-B
USE OF LIQUOR PRIMARY OUTSIDE OF LICENSED HOURS	12
R.V. PARK LICENCES – PROCEDURES AND RECORDS	13
PUBLIC NOTICE OF LICENCE APPLICATION AND	14
OBJECTIONS	
OFFENCE-PENALTY GUIDELINES (GRID)	15
LIQUOR TASTINGS AND SAMPLE SIZES	16
APPROVAL IN PRINCIPLE – PROCEDURES AND PROCESS	17

#### INTRODUCTION

# YUKON LIQUOR BOARD POLICY MANUAL

The Yukon Liquor Board adopts this manual with the intent of better ensuring fairness and consistency in the exercise of its powers under the *Liquor Act*. At various places the *Liquor Act* delegates authority to the board and at other places the *Act* delegates authority to the president of the Yukon Liquor Corporation. This manual focuses on the board's policies and the board's role in the administration of the *Act*. The policies are subordinate to the *Act*, the regulations, ministerial directives and the protocol. This policy manual is not a complete restatement or consolidation of all of the conditions to be included in a liquor licence. The *Act* and the regulations contain numerous mandatory requirements for liquor licences and related matters. Those requirements will be made part of any liquor licence even though not specifically referenced in this manual.

The board expects the manual will be supplemented over time with new policies as new issues come to its attention.

The board's authority for this policy manual is found in the *Act* and the regulations including the following sections:

## Liquor Act

- 3(1) There shall be a corporation entitled the "Yukon Liquor Corporation" consisting of those persons who from time to time comprise the board.
- (10) The board may make bylaws regulating its proceedings and generally for the conduct and management of the affairs of the corporation.
- 8(1) Subject to this *Act* and the regulations, the corporation has the sole power and jurisdiction to:
  - (a) establish and operate liquor stores and warehouses;
  - (b) set the price at which liquor may be sold at liquor stores;
  - (c) buy, import, possess, and sell liquor;
  - (d) control the sale, advertising, storage, manufacture, distribution, transport and delivery of liquor;
  - (e) issue, refuse, cancel, or suspend licences and permits;
  - (f) determine the classes, varieties, and brands of liquor to be kept for sale at liquor stores;
  - (g) control the conduct, operation, and equipment of any premises where liquor is sold pursuant to this *Act*;
  - (h) control the alcoholic content of liquor and the amount to be purchased at one time;
  - (i) control the types and markings of glasses used for serving liquor in licensed premises;
  - (i) determine the liquor purchase records to be kept by licensees;
  - (k) inquire into any matter relating to or arising from the operation of this *Act*;
  - (l) control and regulate the business activities of agents, representatives, and employees of liquor manufacturers and distributors; and
  - (m) do all things considered necessary or advisable for the purpose of carrying this *Act* into effect.

- 9(1) Subject to subsection (2), the Commissioner in Executive Council may issue directives to the corporation with respect to the exercise of the powers and functions of the corporation.
- (2) Subject to a directive under subsection (1), the minister and the corporation shall negotiate annually a protocol about performance expectations for the corporation to meet and roles of the minister, board, and president, respectively, in the work of the corporation; the protocol becomes effective when agreed to by the minister and the corporation.
- (4) The board, the president and other officers, and the staff of the corporation shall comply with and implement any directive under subsection (1) and any protocol under subsection (2).

	ING ORDERS AL FROM A DECISION TO PROHIBIT ENTRY	TAB 1
Issue:	This policy is concerned with an appeal by a person who has been prohibited by a licensee from entering licensed premises.	
Authority:	Liquor Act (Yukon) sections 70(1)(a)(b), 95.4 Regulations 9(1)(b), 9(2), 9(3)	
Background:	A licensee is prohibited from serving liquor to any person who is, or appears to be disorderly or an intoxicated condition: section 70(1)(a)(b) and regulation 9(1)(b).  Further no person shall enter or remain in a licensed premise or liquor store after asked to leave by the manager or the person in charge because of:  x being in an intoxicated condition, x being noisy, riotous, quarrelsome, violent, disorderly, profane, or x acting in a manner contravening a federal statute, Yukon statute or city o municipal by-law: regulations 9(2)(a) and 9(2)(b).  A licensee may prohibit a person who has been requested to leave a licensed pre or a liquor store from reentering the premises for a specific period of time chose the licensee, with a start and end date: regulation 9(3)(a). A prohibition decision one licensee can have wide ranging effect if other licensees of other licensed premises prohibit entry of that same person for the same (or different) periods of time: regulation 9(3)(c).	
	Regulation 9(3)(b) provides for an appeal to the board by a per prohibited entry to a licensed premises. The board is required to at its next meeting and the decision of the board on the matter is. The board has no role to play in the initial decision to prohibit a entering licensed premises and the regulations give the licensee in deciding the length of time for which entry will be refused. The obligation on a licensee to inform the board of the licensee's decentry to a particular person. A person who reenters premises following the prohibition decision has likely committed a trespass and that is set typically dealt with by the police, following a complaint.  The only role the board has in the matter is if the person who to an entry prohibition appeals the entry prohibition to the board.	to hear the appeal final.  person from proad discretion here is no his ision to forbid powing a comething
Policy:	In hearing an appeal from an entry prohibition the board will take approach keeping in mind the statutory obligations of a licensee rein section 70 and regulation 9(1)(b) and the financial penalties that by the Yukon Liquor Corporation on a licensee for failing to main	a common sense eferred to above at can be imposed

premises (section 95.4) and the possibility of losing its licence. The board also recognizes the regulations expressly give the licensee the discretion (subject only to appeal) in deciding on the length of time for the entry prohibition.

Some of the factors that will be considered on appeal include the following:

The degree of seriousness and the frequency of the behavior that gave rise to the entry prohibition including any danger posed to staff members, customers, or the property of the licensee or others.

If in the circumstances it was possible to do so, was the person given a clear warning to cease and desist from the offending behavior.

The longer the period of the entry prohibition the more closely the board will scrutinize the reasons for the decision. Although every appeal will be decided on the facts presented, entry prohibition for a period of years would require strong evidence of harm that has happened or is likely to happen.

Where did the conduct complained of happen? Because of the application of regulation 9(3)(c) an entry prohibition can be based on conduct in an unrelated licensed premises. This could have an undesirable piling-on effect which will warrant closer scrutiny by the board. Specifically, there could be other adverse consequences if a member of the public does not have reasonable access to purchase and consume liquor in and through licensed premises. The board is mindful of the fact that the licence holders are the beneficiaries of an exclusive franchise granted by government.

Regulation 9(3)(b) does not limit the time within which an appeal can be made to the board. An appeal could happen months or even years after the incident that gave rise to the entry prohibition and in the interim there may be a complete turnover of the managers and other employees privy to the incident(s) that gave rise to the entry prohibition. The board can only make its decision based on the evidence brought before it on appeal. It follows that if a licensee wants an entry prohibition upheld it will have to collect the relevant evidence in a timely manner. The licensee will need to seek legal advice as to how and what evidence needs to be collected and preserved in the event of an appeal.

There is no prescribed form for an appeal to the board. The appeal can be made by a letter or other written document addressed the board as follows:

Chair Yukon Liquor Corporation Board 9031 Quartz Road Whitehorse Yukon Y1A 4P9

The appeal letter will need to set out the relevant circumstances and why the person does not feel the decision or the length of time of the prohibition is fair and reasonable. The board will deal with the appeal at its next meeting and may require both the licensee and the person making the appeal to attend that meeting.

Title: ADVER	TISING BY LICENSEES	TAB 2
Issue:	Permitted advertising	
Authority:	Liquor Act (Yukon) sections 8(1)(d), 82 Regulations 9(1)(e), 40(1)(2)	
Background:	Licensees shall not advertise except as permitted by the regulations in this policy. A licensee shall not personally, or through any employee or agent, advertise except in the manner approved by the board.	
Policy:	The board adopts as its policy the Code For Broadcast Advertising of Alcoholic Beverages Canadian Radio-television and Telecommunications Commission ("CRTC"): regulation 9(1)(e). A licensee is permitted to advertise as long as they abide by that code this policy.  The CRTC Code provides that commercial messages for alcoholic beverages shall not:  a) attempt to influence non-drinkers of any age to drink or to purchase alcoholic beverages;  b) be directed at persons under the legal drinking age, associate any such product with youth or youth symbols, or portray persons under the legal drinking age or persons who could reasonably be mistaken for such persons in a context where any such product is being shown or promoted;  c) portray the product in the context of, or in relation to, an activity attractive primaril to people under the legal drinking age;	
	d) contain an endorsement of the product, personally or by implicit indirectly, by any person, character or group who is or is likely minors because of a past or present position of public trust, speany field of endeavour, association with charities and/or advocabenefiting children, reputation or exposure in the mass media;	to be a role model for ecial achievement in eacy activities
	e) attempt to establish the product as a status symbol, a necessity life or an escape from life's problems, or attempt to establish the product should take precedence over other activities;	5 5
	f) imply directly or indirectly that social acceptance, social stat or business or athletic achievement may be acquired, enhance through consumption of the product;	•

- g) imply directly or indirectly that the presence or consumption of alcohol is, in any way, essential to the enjoyment of an activity or an event;
- h) portray any such product, or its consumption, in an immoderate way;
- i) exaggerate the importance or effect of any aspect of the product or its packaging;
- j) show or use language that suggests, in any way, product misuse or product dependency, compulsive behaviour, urgency of need or urgency of use;
- k) use imperative language to urge people to purchase or consume the product;
- 1) introduce the product in such a way or at such a time that it may be associated with the operation of any vehicle or conveyance requiring skill;
- m) introduce the product in such a way or at such a time as may associate the product with any activity requiring a significant degree of skill, care or mental alertness or involving an obvious element of danger;
- n) contain inducements to prefer an alcoholic beverage because of its higher alcohol content;
- o) refer to the feeling and effect caused by alcohol consumption or show or convey the impression, by behaviour or comportment, that the people depicted in the message are under the influence of alcohol:
- p) portray persons with any such product in situations in which the consumption of alcohol is prohibited; or
- q) contain scenes in which any such product is consumed, or that give the impression, visually or in sound, that it is being or has been consumed.
- Advertising includes (but is not limited to) the following: print ads, radio or television broadcasting, publications, social media networking websites, television, newspaper postings, electronic, rolling ads, billboards/sandwich sidewalk boards, building awnings, etc.
- Licensees may within reason, advertise pricing specials, specific brands or happy hours prices for on-premises consumption only. Licensees shall not advertise discount pricing in a manner that promotes or encourages over consumption.
- It is the licensee's responsibility to ensure it complies with any municipal bylaws relating to advertising (e.g. signage, murals).

A licensee shall not contradict the character or primary nature of any approved liquor licence(s).
<ul> <li>Licensees may advertise in accordance with the category of the license approved by the board. For example, an off-premises licence holder may advertise using the words "off-premise" or "off-sales"; or similar in according to the class of their license.</li> </ul>
• Licensees may not advertise a licensed premises in a way that misrepresents the license category. For example, a business authorized to offer off-premises sales, cannot misrepresent it's licence by advertising that it is a stand-alone "liquor store", "liquor depot", "discount liquor store", "private liquor store", or similar where categories of licences do not exist in the <i>Yukon Liquor Act</i> .
More information can be located: http://www.ylc.yk.ca/licensees.html
Approved October 25, 2017

	GES TO LICENSED PREMISES THAT REQUIRE A PPLICATION & PUBLIC NOTICE OF APPLICATION  TAB 3
Issue:	Establishing criteria for when a new liquor licence application shall be made because of proposed changes to an existing licensed premises.
Authority:	Liquor Act sections 8(1)(g), 8(1)(m), 25, 26, 32, 33, 34 Liquor Regulations section 4(2)
Background:	Changes to the licensed premises may include changes to the physical nature or operation of the licensed premises or changes to a condition in an existing licence.
	Section 4(2) Yukon Liquor Regulations states: "Unless the board otherwise directs, before making a structural alteration to a licensed premises, a licensee must make an application, in the manner and form required by the board, to the board for approval of the alteration."
Policy:	MAJOR ALTERATIONS - REQUIRING NEW APPLICATION
	A new application and public notice of application is required for substantial, major or structural alterations to existing licensees. This includes alterations, or changes, with respect to:
	i. Substantial changes, such as those to category or class of a licence or to the material conditions of a license.
	ii. Major changes, that may require an official building permit, or other permit obligations, that may allow an increase to the occupancy load of the premises.
	iii. Structural alternations, such as:
	<ul> <li>a. removal or addition of supporting elements or structure of a building;</li> <li>b. expansions or other alternations that affect occupant capacity, including alterations to outdoor structures (i.e. decks) where occupant load changes;</li> </ul>
	c. changes to fire suppression, life or safety equipment; or
	d. the number of washrooms on premises.
	"Substantial changes" include changes in the class or category of licence (e.g. changing a Food Primary ("Restaurant") licence to a Liquor Primary ("Bar") licence) of changes to the material conditions of a licence (e.g. extension to the hours of operation for liquor service and sale, or changes to material conditions of a licence that require public notification).
	Some changes to licence conditions may not require a new licence application, see

In general, "major changes" are those that require an official construction permit or any other permit that allows for an increase in the occupancy load of the premises including changes to the size, use or nature of the licensed premises; the addition of a deck; or addition or removal of entertainment, sports or other activities available to patrons that may affect occupancy load.

"Structural alterations" are not defined in the Liquor Act or Regulations but are generally understood to mean changes to supporting elements of a building. These include: major changes as defined above; changes to load bearing walls, support beams or pillars, framing, and ramps; changes to fire suppression equipment, life or safety equipment, or washrooms on premises; and any other material changes that increase maximum occupant capacity on premises.

Where structural alterations changes the licensed premises to something substantially different from the original application, a new application is required.

Licensees must advise a liquor inspector in writing, with as much advance notice as possible, and provide a detailed description of the work similar to that which is required by section 25(1)(b) and section 25(1)(d) of the Liquor Act.

# WHEN A NEW APPLICATION IS NOT REQUIRED:

Minor changes to licensed premises do not typically require a new licence application or public notice of application. However, it is a best practice for the licensee to discuss plans with the Yukon Liquor Corporation's Licensing and Inspections branch (LIB) before the work begins.

The inspector will determine whether the change requires a new application and public notification. If the inspector determines that the proposed change does not substantially alter the nature of the licence, the inspector will note the changes on the licensee's file and will inform the Board Secretary if these changes require amendments to the existing licence.

Where the licensee and inspector do not agree on the nature of a proposed change (ie. Major or minor), the inspector will escalate the request to the Director of Licensing & Inspections Branch for a decision.

A new application and public notice of application is not typically required for:

- i. Removal or addition of an approved conventional game (e.g. TV, etc.) that does not affect occupancy load.
- ii. Additions or changes to a bandstand or stage.
- iii. Minor cosmetic changes or improvements such as painting, replacement of carpeting/flooring, lighting or other fixtures.
- iv. Decrease of occupant load would only trigger a new application if a fire or other authority has concerns.
- v. Decrease in hours of liquor service or sales.

Title: FIT PEI (PHR A	RSON ND R.C.M.P. INFORMATION FORM)	TAB 4
Issue:	For certain purposes the board must be satisfied that a person is character and reputation" or a "fit person".	"personally of good
Authority:	Liquor Act (Yukon) sections 37(i); 61(a); 62(2); 62(3) Regulation 47(1)(b)	
Background:	Every person who applies for a licence of any kind must satisfy they are "personally of good character and reputation": regulation In addition, every person who applies for a liquor primary, food must satisfy the board that they are both "personally of good character gulation 47(1)(b)(i); and a "fit person": section 61(a).  In order for a person to be endorsed on a licence as an approved must determine that he or she is a "fit person". However, the predecision to the board: sections 62(2) and 62(3).  The terms "good character and reputation" and "fit person" are a Act (Yukon) or regulations. Although there is likely some overlanot the same thing. An individual may be "personally of good of but not the type of person needed to manage a licensed premises.  In any event, the character and fitness of persons who are in conis important because:  • liquor is a controlled product; • liquor can create significant risks if abused.	primary or club licence tracter and reputation":  manager, the president resident may refer that  not defined in the <i>Liquor</i> up in the terms, they are haracter and reputation".

Approved October 25, 2017

# **Policy**:

As a preliminary matter, applicants for a liquor licence and applicants for endorsement as a manager must complete the corporation's *Personal History Report* and the "Consent for the Release of Police Information" Form from the Royal Canadian Mounted Police. That information must be provided to Yukon Liquor Corporation, Licensing and Inspection Services.

\*Note: The RCMP Consent for the Release of Police Information form must be completed and submitted in person to the nearest RCMP detachment with two pieces of ID, one of which must be a valid Government issued photo ID.

Should any result other than "Negative" be provided to the Yukon Liquor Corporation, a fingerprint submission to the RCMP must be done for a certified check. Once the applicant has received the results of the fingerprint certification then the application can go forward to the Yukon Liquor Board.

In addition to the information disclosed by the PHR and RCMP reports the board will also, pursuant to section 37(i), take into consideration how the applicant or their associates have operated any other licences.

If there are any concerns arising out of the foregoing the board may direct the president to investigate the matter further and prepare a report for the board's consideration.

Title: LIQUO	R LICENCE RENEWAL	TAB 5
Issue:	<ol> <li>The board's role in renewing licences</li> <li>Dealing with a short "licence year"</li> </ol>	
Authority:	Liquor Act (Yukon) sections 35, 36 Regulations 31(2), 50	
Background:  1. It is the president who is responsible for renewing a licence: <i>Liquo</i> (Yukon) section 35(1) except in two instances:		cence: Liquor Act
	<ul><li>(a) The president may choose to refer the renewal applic section 35(2);</li><li>(b) If some person objects to the renewal of a licence the</li></ul>	
	to the board for a decision: section 35(4).	
Policy:  1. If the application for renewal is referred to the board, section 35 (5) of the <i>Liquor Act</i> (Yukon) requires the board to apply sections 25(2), 28, 30(2), 32 and 33. Pursuant to regulation 50(4) the board adopts the following standards that a licensee must meet to be in good standing:		s 25(2), 28,
	<ul> <li>(a) the licence has not been suspended in a licensing yea</li> <li>(b) the licensee has not been convicted of an offence und Act (Yukon) or its regulations.</li> <li>(c) the licensee's accounts with Yukon Liquor Corporations.</li> </ul>	der the <i>Liquor</i>
	(d) the licensee has complied with the directions, orders licence issued by the Yukon Liquor Board.	
	i. In pursuant to 8(g) Liquor Act, the Board sha of conduct operation, and equipment of any p sold to this act.	
Background:	1. A "licence year" means April 1 to March 31 of the following year. Therefore every licence expires on March 31 <sup>st</sup> . Section 35 of the <i>Liquor Act</i> (Yukon) requires licensed premises to be operational for at least three months of a licence year. However if a licence is issued after January 1 <sup>st</sup> but before April 1 <sup>st</sup> , the licensee is not able to fulfill the three month operational requirement of section 35(9).	
Policy:	1. Regulation 50(2) authorizes the board to specify an ex licence other than March 31 <sup>st</sup> . Accordingly, in order to satisfy month operational requirement of section 35(9) of the <i>Liquor</i> and in reliance on regulation 50(4).	the three

Title: NEW Y	EAR'S EVE	TAB 6
Issue:	Hours of service	
<b>Authority:</b>	Liquor Act section 1 and 52, Regulations 2(c), 13 and 27	
Background:	The board determines the hours of operation of a licensed premises pursuant to its authority in various places in the Act or regulations including hours of operation o sales licences: 27(2).	
	27 (2) The board shall specify in an off-sales licence the liquor may be sold on the licensed premises. (3) The hours specified under subsection (2) must be between. on the following day.	
	The exception to the general rule is section 52 which speaks sp hours on December 31 <sup>st</sup> and January 1 <sup>st</sup> . As a general rule of in the Act prevail over the sections of the regulations and the sect specifically with a matter prevail over other sections in the Act only deal generally with the matter.	terpretation the sections of ions in the Act that deal
	52 <u>Despite any other section</u> , licensed premises may remstated on the licence from nine o'clock of the afternoon of three o'clock in the forenoon of January 1.	
Policy:	Section 52 is clear in extending operating hours of liquor service premise, including off-premises licences, continuously from 9: to 3:00 a.m. the following day.	•

Title: OFF-Pl	REMISES LIQUOR LICENCE	TAB 7
Issue:	Section 47 of the <i>Liquor Act</i> (Yukon) provides that the board may issue licence that allows the retail sale of liquor in any licensed premises for consumption. This policy clarifies a number of the conditions the board deciding whether or not to approve an off-premises liquor licence.	off- premises
Authority:	Liquor Act (Yukon) Sections 11(3)(a), 25(2), 26, 30(1), 37, 47, Liquor Regulations Sections 27(2), 27(3), 31(2), 52	
Background:	Section 47 of the Liquor Act allows the board to approve a licence allowing the sale of liquor in a licensed premises for off-premises consumption, subject to a conditions the board may specify.	
	Section 27 of the Liquor Regulations establishes rules related to off-sal licences. These include defining a 'main licence' as the liquor licence is which an off-sales licence is issued, setting hours during which liquor establishing that all liquor sold must be paid for in full at the time of puthe liquor is charged to the purchaser under established credit arrangement the board.	n respect of may be sold; and archase, unless
	Section 37 of the Liquor Act mandates the board to consider a number considering whether or not to grant a new licence of any type. These coinclude:	
	<ul> <li>a) the number of licences in the area;</li> <li>b) the number of different types of licences in the area;</li> <li>c) the population of the area including seasonal variations and also variations in the immediate area to be served by the licence and areas capable of being served by the licence;</li> <li>d) the economic activity carried on in the area or projected to be concluding seasonal variances;</li> <li>e) in the case of an application under section 34 (premises under a projected capital expenditure;</li> <li>f) in the case of an application under section 25 (applications for</li> </ul>	I more distant arried on, construction), the
	amount of capital expenditure already made; g) [repealed 2008] h) the need for a new licence in the area; i) how the applicant or their associates have operated any previou either of them; j) the arrangements to be made by the applicant for operating and premises; and k) the type of structure to be built, or added to.	as licence held by
	When considering these factors, the board strives to ensure an appropri between access to liquor and social responsibility. The policy outlined clarify how some of these factors are considered by the board in its dec process.	below helps to

# **Policy:**

# **Hours of operation:**

Sections 27(2) and 27(3) of the Liquor Regulations address the hours of operation of an off-sales liquor licence, as follows:

27(2): The board shall specify in an off-sales liquor licence the hours during which liquor may be sold on the licensed premises.

27(3): The hours specified under subsection (2) must be between 9:00 a.m. and 2:00 a.m. on the following day.

The Yukon Supreme Court commented on the discretion afforded to the board by section 27(2) and 27(3) of the Liquor Regulations. The board must not improperly fetter its discretion to regulate off-sales hours under section 27(2) by way of an informal board policy and must not ignore its discretion to set off-sales hours different from the hours of the main licence, pursuant to section 27(3).

Based on the above, unless otherwise requested by the applicant and approved by the board, the board will set hours of operation for an off-sales liquor licence to mirror the hours of operation for the 'main licence', as defined in section 27(1).

If an applicant for an off-premises liquor licence requests a variation in liquor service hours from the hours of the main licence, the board, if it considers it to be appropriate, will consider such request and may allow the variation in hours of sale for the off-sales liquor licence pursuant to regulation 27(3).

# **Considerations for new off-premises licences:**

For the purpose of board decisions, an 'area' is defined as a subdivision or community. Per section 37(c) of the Liquor Act, the board shall consider the population of the immediate area, and the population of all adjacent areas that are capable of being serviced by the license, including seasonal variations.

The Yukon Bureau of Statistics (YBS) releases quarterly population reports and defines subdivision/community boundaries by road names and postal codes. These boundaries and populations continuously change as populations grow. The board will request the most current population and boundary data from YBS when it is actively considering a licence application.

When considering an off-premises licence application, the board will consider density and proximity targets for an area:

• Density target: a maximum of one (1) Off-Premises Licence approved for every 1,000 persons within the defined area.

• **Proximity target:** minimum of one-hundred and fifty (150) meters away from a public park, school, daycare, youth center, alcohol/treatment facility, existing off-sales licensee, YLC Store, or other areas considered by the board to pose a potential conflict, including those frequented by children, youth or other vulnerable persons.

When considering the density target, the board the board shall consider the population of the immediate area, and the population of all adjacent areas that are capable of being serviced by the license, including seasonal variations.

When considering the proximity target, distances are defined as being from the closest property line of the applicant's premises to the next closest property line as determined by an inspector of the YLC's Licensing and Inspections Branch.

Density and proximity targets only apply to new off-premises licence applications. They do not apply to licence transfers or renewals. An off-premises licence application must conform to applicable municipal zoning by-laws.

When considering population, the board will refer to the population estimates produced quarterly by the Yukon Bureau of Statistics, which are available online at <a href="https://www.eco.gov.yk.ca/stats">www.eco.gov.yk.ca/stats</a>

# **Pricing:**

Section 52 of the Liquor Regulations prohibits a licensee holding an off-sales liquor license from selling liquor at a price in excess of 30 percent more than the retail price of liquor sold in retail liquor stores operated by the Yukon Liquor Corporation, rounded to the nearest five cents.

### **Statutory conditions for all off-premises liquor licences:**

Pursuant to section 47 of the Liquor Act, the board may impose any conditions deemed to be fit on an off-premises liquor licence.

Sections 27(4) and (5) of the Liquor Regulations require that all liquor sold for consumption off-premises must be paid for by the purchaser at the time of purchase, unless the liquor is charged to the purchaser under established credit arrangements approved by the board.

#### Further,

• A person must leave a licensed premise immediately after making an off-sales purchase.

- A licensed establishment holding an Off Premises Licence must be open for business for a period of four (4) consecutive hours during the period of time specified on the Liquor Primary and/or Food Primary licence(s) held by the establishment.
- A licensee who holds an off-premise licence is prohibited from selling liquor to the holder of a special occasion liquor permit for service or re-sale of liquor.

Section 75(1) of the Liquor Act states that 'Except as authorized by this Act, no person shall by themselves or their partner, servant, clerk, agent, or otherwise, sell or deliver any liquor to any person who buys liquor for the purpose of reselling it'.

This section clearly prohibits any licensee (except as authorized by the Act) from knowingly selling or delivering liquor for re-sale. A licensee cannot purchase liquor from another licensee.

#### **Transfers:**

- Licensees holding an off-premise licence may transfer liquor licences with a transfer application, which is available online at: http://www.ylc.yk.ca/forms.html
- A minimum of 30 days is required to process transfer applications prior to the final closing date on sales purchase.

Approved October 25, 2017

Title: SPECI	AL OCCASION AND RECEPTION PERMITS	TAB 8
Issue:	Appeals from the refusal of the president to issue a reception permit or a special occasion permit.	
Authority:	Liquor Act (Yukon) sections 8(1)(g), 8(1)(m), 53(8), 53(17), 53(1), 54 Regulations 31(2), 37, 38	
Background:	The president is the person authorized to issue reception permits occasion permits in accordance with section 53(1). However, if a permit that person can appeal the decision to the board pursuant	a person is refused a
	A reception permit can be issued to any person in charge of a rec 53(1) (a).	ception: section
	A special occasion permit can only be issued to a person who is act for a non-profit organization whether incorporated or not: sec 53(1) (b). A non-profit organization, which includes non-profit c (also known as a "society"), is an organization that is formed for generating a profit to be distributed to its members, directors or organization can earn a profit, the profit must be used to fur organization corporation rather than to pay dividends to its memory corporations are formed pursuant to federal, or territorial law. A can be a church or church association, school, charity, medical produnteer services organization, professional association, research institute, museum sports association. Non-profit organizations must apply for charifrom tax-exempt status and to issue tax deductible receipts to do non-profit organization are or need to be charitable organizations.	orporations r purposes other than officers. While, a non- urther the goals of the bership. Non-profit non-profit corporation rovider, activity clubs, m, or in some cases a table status to benefit nors, although not all
	Non-profit corporations are distinct from business corporations we to make a profit and to distribute the profit to its shareholders.	which are formed
	In addition to deciding on any appeal, the board has the authority conditions for permits (section 53(17)) and to direct the presiden conditions on licenses (see regulation 31(2) and see generally section 8 (1) (m)).	t to endorse
Policy:	Because the role of the board is to review the decision of the president to refuse a reception permit or a special occasion permit, the board will pay particular attention to the reasons given by the president for the refusal. Where applicable, the board will applies elsewhere in deciding on the issue of a licence including the	

licensing history of the applicant and whether the applicant is a fit person.

Proof of incorporation and current good standing under the *Societies Act* (Yukon) or the *Not-For-Profit Corporations Act* (Canada) is all that is required to establish that the applicant qualifies as a non-profit organization because by definition only non-profit entities can incorporate under that legislation.

Proof of incorporation as a non-profit organization can be established by the applicant producing a certificate of status (sometimes called a certificate of good standing or a certificate of compliance) issued by the relevant government authority (Yukon or Canada) dated not more than thirty days prior to the date of application.

A society or non-profit organization incorporated anywhere else in Canada or outside of Canada must be registered as an extra-territorial society with the Yukon Registrar of Societies in order to apply for a special occasion permit. A certificate of status issued by the Yukon Registrar of Societies is sufficient proof of these matters.

In addition to a certificate of status, if there is a need to know who the officers and directors of the non-profit organization are, the applicant may have to provide a Societies Summary issued by the relevant government office (Yukon or Canada). Proof that a named individual is authorized to make an application for a special occasion permit on behalf of a non-profit organization can be provided through a certified copy of the minutes of a meeting of the organization making the appointment, or by way of a letter on the organization's letterhead and signed by an officer or director of the organization.

There is no requirement in the Act or regulations that the non-profit organization also be registered as a charitable organization with Canada Revenue Agency.

Section 53(1)(b) also permits a person to apply for a special occasion permit on behalf of an unincorporated non-profit organization. Unlike entities incorporated under either the *Societies Act* (Yukon) or the *Not-For-Profit Corporations Act* (Canada), unincorporated non-profit organizations are not defined by statute nor are their activities restricted by statute. Accordingly, in almost all cases the board will require much more from the applicant to establish the organization is a bona fide non-profit organization. That proof will generally consist of the following:

- constitution, bylaws, and membership list;
- written description of the organization outlining its aims and objectives (typically, relating to relief of poverty, education etc.);
- list of the current executive with addresses and telephone numbers;

• minutes of meetings, financial statements, and financial reports related to the event.

Because an unincorporated non-profit organization is not a separate legal entity, there is no limited liability protection for the members, and the members who authorize the applicant in writing to act on their behalf are personally liable for any breaches of the licence.

If the board overrules the decision of the president to refuse a reception permit or a special occasion permit, it may impose such other conditions at it sees fit depending on the evidence before it.

Issue:	This policy addresses entertainment in licensed premises.		
Authority:	Liquor Act (Yukon) sections 8(1)(g), 70 Regulations 11, 33(1)		
Background:	None.		
Policy:	Exotic Entertainment in Licensed Premises:		
	: None.		

# Combative and Non-Combative Contact Entertainment in Licensed Premises:

Combative and non-combative contact entertainment, including but not limited to boxing, kick-boxing, mixed martial arts, muay thai, hockey, broomball, roller derby and contests involving feats of strength such as arm wrestling, are allowed in licensed premises provided the licensee has obtained the permission of the president and complies with all laws including the *Criminal Code of Canada*, the *Liquor Act* (Yukon), the Regulations and this policy.

In addition to complying with the law that requires a licensee to obtain the permission or authorization of an athletic board, commission, or similar body established by or under the authority of the Yukon Legislative Assembly for the control of sport within Yukon, a licensee shall satisfy the following requirements when hosting combative and non-combative contact entertainment in a licensed premise:

- 1. the entertainment shall take place within a clearly defined boundary (i.e. a ring) with a minimum one meter barrier between the defined area and the audience;
- 2. the entertainer shall not perform at any location other than within the clearly defined boundary;
- 3. the entertainment shall take place only if safety measures have been put in place, to the satisfaction of the President, to protect all persons including participants, patrons and staff;
- 4. the entertainment shall not involve patron participation; and
- 5. the entertainment shall not include mud (or other substance) wrestling, mechanical bulls or any animal, bird, or reptile.

Title: SPECIA	AL LIQUOR LICENCE	<b>TAB 10</b>
Issue:	This policy addresses the circumstances where the board is considering approval of a request for a Special Liquor Licence.	
Authority:	Liquor Act sec. 23(h) and s. 48 Regulations 16.01	
Background:	Section 23 lists eleven kinds of licences the board is authorized to those are specific to certain types of operations, the exception beilisted as s. 23(h).	
	Note: special licences approved by the board and should not be confused with "special occasion permits" which are approved by the president and are dealt with at Tab 8.	
	Special licences are a residual category licence intended to fill gap other ten categories of licence which the board is authorized to a Special licences should be the exception, not the rule. It is clear from applications for special licences should not be considered if any or permit (including permits the president is authorized to approve purpose. Section 48 reads as follows:	pprove under sec. 23. om s. 48 that other kind of licence
	"Despite any other provision of this Act the board may, subject to the regulations, in its discretion approve a special licence for the sale of liquor <u>under circumstances not otherwise provided for in this Act."</u>	
	An off-premise licence cannot be issued in conjunction with a sp	ecial licence.
	Although section 48 makes no specific reference to "special licen section 23(h) by way of the definition at regulation 16.01(1).	ces" it is linked to
	The following are some examples of Circumstances for which a Sp may be approved:	pecial Liquor Licence
	<ul> <li>Banquets: A banquet is an organized event held in a designate which is not open to the public, and at which is located a food sufficient to provide food for the maximum seating capacity o special licence may be issued to serve liquor to banquet guests takes place in the banquet room.</li> </ul>	preparation area f the facility. A
	<ul> <li>Catered Events: A catered event is an organized event, which approved licensed premise, for which a licensee provides a for service. A special licence may be issued to serve liquor to gue</li> </ul>	od or beverage
	<ul> <li>Performances: A performance is an event which takes place in designated area outside of a building, where entertainment is p for a fee. A special licence may be issued to serve liquor to fee attending the performance.</li> </ul>	provided to the public

# **Policy:**

- Lodge Accommodations: A lodge is a facility, which is located a sufficient distance away from a community so as to be considered remote, to accommodate overnight guests for a fee. A special licence may be issued to serve liquor to registered guests, and off-duty staff, of a lodge.
- Spas/Salons/Aesthetic Studios: A special liquor licence application may be considered for approval by the Board where liquor is to be provided at the time of service to registered clients. A letter of authorization from the President is required outside of these circumstances.

Given the wide variety of licences and permits provided for in the Act and regulations, prior to consideration for approval of a special licence the board must be satisfied there is no existing category of licence or permit that can authorize the activity in question.

The Act and regulations have little to say specifically about special licences other than to address hours of operation as follows at regulation 16.01:

"(1) In this section

"special licence" means a special licence issued under section 48 of the Act.

- (2) The board shall specify in a special licence the hours during which liquor may be sold on the licensed premises.
- (3) The hours specified under subsection (2) must be between 9:00 a.m. and 2:00 a.m. on the following day.

Because of the unique nature of each application for a special liquor licence, each application will be considered for approval (or not) on its own merits, but any special licence approved by the Board and issued by the Corporation will be subject to all of the mandatory obligations and conditions in the *Liquor Act* and its regulations.

If a special licence is analogous to any other kind of licence or permit authorized by the Act and if the board imposes special terms and conditions on a special licence, it will have regard to terms and conditions common to that analogous licence or permit.

Title: SUSPE PERMI	NSION AND CANCELLATION OF LICENCES AND ITS	TAB 11-A					
Issue:	This policy addresses the suspension and cancellation of licences and permits.						
Authority:	Liquor Act (Yukon) sections 3(7), 8(1), 8(2), 9(3), 14.01(3), 17, 22(4), 53(20), 56, 79, 87 Regulation 49	, 18, 22(1),					
Background:	At various stages the power to suspend a licence will reside will president or the board. At various stages the power to cancel a lipresident, the board or the Yukon Supreme Court.	icence resides with the					
	An appeal resides with the board from an order of the president suspending a licence: sections 18(3) to 18(8).						
	An appeal resides with the president and then to the board from the suspension of a licence by an inspector: section 79(7).						
	On the recommendation from a Territorial Court Judge the board can suspend or cancel a licence: section 87(1); but in addition the president can unilaterally cancel that same licence based on that same recommendation, even before the board has considered the matter: section 87(2).						
	In the case of a suspension of a licence by the president pursuant 87(2) the licensee could appeal the order pursuant to the appeal 18. Section 18 applies only to suspensions; it does not apply to licence by the president. In the case of a cancellation by the presection 87(2) a person can appeal to the board and from the bo Supreme Court. The person could also re-apply for a new licence	mechanism at section cancellation of a resident pursuant to ard to the Yukon					
	The majority of the Board shall constitute quorum per 3(9) <i>Liquor Act</i> . For example if there are four board members, two will constitute quorum. If a licensee appeals a suspension, and all parties agree, one board member can hear the matter as quorum. There shall be not less than three board members appointed, however this does not specifically denote quorum.						
	A liquor licence suspension only affects the actual licences and rights and privileges pertaining to them. A suspension does not "shut down" a licensed premises or business. A business under a liquor licence suspension can remain open for any other purpose outside of the jurisdiction of the <i>Liquor Act</i> (Yukon). If the main licence is suspended, so is any attached off-sales licence.						
Policy:	If a licensed premises is substantially destroyed, in that it is not sixty days, then the board must cancel the licence: section 56(1)						

	If the person reapplies for a licence pursuant section 56(2) then pursuant to section 56(3) the board will require the applicant to submit the information as set forth in section 25(1).
Notice of Suspension:	In accordance with section 71 a licensee shall affix a notice of suspension (posters) in a location where it will be clearly visible to the public from outside the licensed premises. A licensee who fails to post notice of suspension will be subject to the suspension being extended by a minimum of four (4) days, and for each day the licensee fails to comply with note posting the notice of suspension.

Title: LIQUO DECISIONS	OR BOARD MEETINGS, HEARINGS AND	TAB 11-B					
Issue:	This policy addresses hearings before the Yukon Liquor Board.						
Authority:	Liquor Act (Yukon) sections 3(7), 3(10), 31, 32, 11 Regulation 49(1)	8(6)					
Background:	The board may make bylaws regulating its proceeding conduct and management of the affairs of the corporation.	•					
Policy:	Board Decisions may be appealed to the Yukon Sup (6) months from the date of the decision in question that the board:  (a) failed to observe a principle of natural justice refused to exercise its jurisdiction;  (b) erred in law in making its decision or order, appears on the face of the record; or  (c) based its decision or order on an erroneous fi perverse or capricious manner or without reg  During its deliberations, the board will be guided by goals and values as set out on the corporation's webs  • Members of the board shall not be less than the board shall constitute quorum expect as pris addressed at Tab 11-A;  • Section 49(1) of the <i>Act</i> requires all hearings new licences, suspension or cancellation of ligeneral public;  • Applicants, persons objecting to applications may make submissions to, and present evidence.  • Decisions of the board will be made in writing the complete of the corporation of the co	on the following grounds:  e or otherwise acted beyond or whether or not the error adding of fact made in a gard for the material before it.  the vision, mission, strategic site.  three in number. A majority of provided by section 3(7) which  pertaining to applications for icences will be open to the  pertaining to the president nce to the board;  ng;  ecretariat shall contact the decision and shall make the					

- the board will post on the Corporation website all decisions relevant to the issuance of new licences.
- board hearings shall not be recorded by any person except the board secretary, unless specifically requested by a party a minimum of two (2) weeks prior to a scheduled hearing;
- upon request the board's secretariat shall arrange for the preparation of an official transcript of proceedings the cost of which shall be borne by the parties requesting the transcript; and

Generally, the board will adhere to the following procedures at the hearing:

- 1. the Corporation will introduce the licence application through an Application Issue Summary;
- 2. the applicant may provide an overview of its application to the board and the board will have the opportunity to ask questions of the applicant with respect to the application;
- 3. objectors will then have the opportunity to make submissions to the board and the board will have the opportunity to ask questions of the objector with respect to the objector's submissions;
- 4. the applicant will then have the opportunity to respond to the submissions made by the objector;
- 5. the objector will then have the final right of reply to respond to any new information raised by the applicant;
- 6. the board will enquire as to the possibility of resolving the differences between the applicant and the objector; and
- 7. if resolution between the applicant and the objector cannot be reached during the hearing, the hearing will conclude following which the board after consideration of all submissions by the applicant and objector, will issue written reasons for its decision.

Title: USE O	F LIQUOR-PRIMARY PREMISES OUTSIDE	TAB 12				
LICENSED H	OURS					
Issue:	Under regulation 14(3), the board may authorize the licensee to use their liquor-primary premises for purposes other than the sale of liquor during times when					
	the premises are closed to the sale of liquor.	te sale of fiquor during times when				
Authority:	Regulation 14(3)					
Background:	There are occasions when the operator of a liquor- their facilities for other purposes which do not invo- example, a "breakfast endorsement" allows the pre- without liquor service prior to its licensed hours of provide for a multi-day community training event v	olve the service of liquor. For mises to operate as a restaurant operation, or the premises could				
Policy:	APPLICATION  To request this approval, the licensee must provide corporation at least five working days in advance of the the board will provide a response in writing the authorization template (attached).	of the activity.				



9031 Quartz Road Whitehorse, Yukon, Canada, Y1A 4P9 (867) 667 – 5245 Fax (867) 393 – 6306

E-mail: yukon.liquor@gov.yk.ca

# <u>Authorization to use Liquor Primary premises outside of licensed hours during "named event"</u>

The Yukon Liquor Board hereby authorizes \_\_\_\_\_\_to use their Liquor

Primary premises beyond the sale/service liquor hours set out on the face of the Liquor license number:
The above authorization applies to the premises described above only for the following dates and times only:
xduring the hours of and
The following conditions apply:
<ul> <li>x Service or sale of liquor is not authorized beyondtime</li> <li>x All liquor that has been sold or served must be cleared by _time</li> <li>x No liquor may be consumed on the licensed premises during the period of the extension.</li> </ul>
This approval must not be construed as a precedent for any future requests. This authorization is to be posted in a prominent position in the licensed premises.
Authorization issued by:
Name
Chair, Yukon Liquor Board of Directors
Date:
cc: Royal Canadian Mounted Police, Community, Yukon

Title: RV PAI	Title: RV PARK LICENSES – PROCEDURES AND RECORDS TAB 13					
Issue:	Applicants for an RV park beer/wine license must proposal acceptable to the board for registering gue	-				
Authority:	Liquor Act (Yukon) sections 23, 47.1 Regulation 36.04					
Background:	Section 47.1 <i>Liquor Act</i> allows the sale of beer or wine only to someone who is a registered overnight guest of the licensed RV park.  Regulation 36.04 provides rules for RV park business on the restricted beer/wine license.					
Policy:	A guest register for this purpose would normally in  Date of check-in  Date of check-out  Name  Residence (City/Town, Province/Te  Number of guests in party  License plate # (if applicable) Site #  Type of vehicle  Paid Column  (Sample guest registration attached)  All of the requirements for an RV license set out in	erritory/State, Country) ‡				

# **RV Park Registration**

Name	Address	# of Guests	Check In Date	Check Out Date	Site #	Vehicle Type	License #	Pd

Title: PUBLIC OBJECTIONS	NOTICE OF LICENCE APPLICATION &	TAB 14				
Issue:	Requirement to give public notice of an application	n for a licence.				
	Objections to an application for a licence.					
Authority:	Liquor Act (Yukon) sections 26, 29, 30, 31					
Background:	Section 26 of the <i>Act</i> requires that public notice of all new liquor licence applications, and changes to existing licences or licensed premises, be published for three successive weeks in a newspaper circulating in the area in which the proposed or existing licensed premises are situated and that any person wishing to object to the application give written notice to the president, with the reasons for their objection.					
Policy:	The format for the advertisement is set by the Liqu	or Regulations – Form 3.				
	Any person may object to the granting of a licence by filing with the president an objection in writing (with reasons) within five days after the last publication of the advertisement for a liquor licence. A copy or the objection must also be served on the applicant by registered mail. In circumstances where registered mail is not possible, the objection can be served personally on the applicant.					
	If no objection is filed and the board is satisfied that the requirements of the <i>Act</i> and regulations have been satisfied and that a licence should be issued, then the licence will be issued: section 30(1).					
	If the board decides a licence should be issued with applicant an opportunity to make representations c 30(2).					
	If an objection is filed the board will set a day for a hearing. The hearing date will be not sooner than ten days after the date of the last day of the public notice given pursuant to sections 26 and 31.					
	A person who has filed an objection will be given notice of the time and place for the hearing and invited to attend the hearing, either in person or by telephone. If the person objecting chooses to attend by telephone they will need to give the board secretary at least two business days' notice to ensure technical arrangements are in place.					
	A person objecting to the licence does not have to telephone, they can be represented by legal counse person objecting must inform the president in writing	el or an agent, but in that event the				

business days prior to the meeting, providing the name and contact information for the legal counsel or agent.

The person objecting to the application should know that the board can only make a decision based on the materials before it at the hearing so if the person objecting to the application is not present in person, or by telephone, or by an agent, then their written objection is all the board will have to rely on.

The applicant and any person who has given notice of objection to the objection will be notified of the decision of the board and its reasons.

In order to ensure that the public is informed of the applicant's intentions:

- 1. Although not imposing a legal obligation, the board encourages applicants to consult with the residents and businesses close to the proposed licensed premises, in order to identify and address any concerns at an early stage.
- 2. The public is encouraged to keep up to date on issues of interest by checking the newspapers and the Yukon Liquor Corporation website on a regular basis.
- 3. Public notice of the application by advertisement should be placed in the Friday editions of the relevant newspaper. If there is no Friday edition published because of a statutory holiday, or some other reason, then advertisement should be published in the next available edition of the newspaper.

When scheduling meetings the board will endeavor to accommodate the schedules of the applicants and any person objecting to the application, subject to the requirements of the legislation.

Title: OFFEN	NCE-PENALTY GUIDELINES	TAB 15				
Issue:	The need to provide guidance to inspectors in the enforcement of the <i>Act</i> and regulations.					
<b>Authority:</b>	See various sections cited in the attached Offer	nce-Penalty Guidelines.				
Background:	The board acknowledges the use of a published corporation staff. The purpose of the penalty guithe enforcement actions taken by liquor inspecto with the <i>Act</i> and regulations.	delines is to ensure consistency in				
Policy:	Options for enforcement actions listed in the off	ence-penalty guidelines include:				
	<ul> <li>warning letters as a formal communication tool;</li> <li>liquor licence suspensions;</li> <li>tickets issued under the <i>Summary Convictions Act</i> and regulations;</li> <li>laying of information with Department of Justice which can lead to a prosecution;</li> <li>referral to the board.</li> <li>X An inspector can suspend the licence for up to 14 days: section 79(6)  Liquor Act.</li> <li>X The president can suspend the licence for up to 12 months: section 17(2)  Liquor Act.</li> <li>The board acknowledges that the use of the Offence-Penalty Guidelines provides several options to liquor inspectors, allowing for the use of discretion in its application. The purpose of this is to allow for inspectors to consider all mitigating factors prior to imposing sanctions.</li> <li>In addition to the possibility of cancelling a licence, the board is also free to consist suspending a licence with or without additional terms and conditions to deal with</li> </ul>					
	alleged offences that gave rise to the referral in the first place.  Through the publication of the document, licensees and the public are able to see the fairness in application as well as the severity with which each offence is viewed, at thereby be made aware of the potential consequences of those offences.  (See attached Offence-Penalty Grid.)					

Contravention	<u>Legislative</u>	1 <sup>st</sup> Offence	2 <sup>nd</sup> Offence	3 <sup>rd</sup> Offence	Subsequent Offences	Referral for Prosecution
	<u>Reference</u>	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence this could be applied as early as the 1st offence)	(Dependent on circumstances of offence)
			Minor	'S		
Minor provided, obtains or attempts to obtain liquor.	YLA 17, 18, 22, 79, 80(1), 90(1)(3), 95, 95.2 Schedule IV	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to minor</li> <li>Suspension of liquor licences for up to 7 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to minor</li> <li>Suspension of liquor licences for up to 18 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to minor</li> <li>Suspension of liquor licences for up to 30 days.</li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Up to \$10,000 fine and or up to 12 months imprisonment. If Corporation: up to \$20,000
Unauthorized Minor in a Liquor Primary premises	YLA 17, 18, 22, 79, 80(1), 90(4), 95, 95.2, YLR 9(1)(g)(h), 11, 12 Schedule IV	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to minor</li> <li>Suspension of liquor licences for up to 4 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to minor</li> <li>Suspension of liquor licences for up to 12 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to minor</li> <li>Suspension of liquor</li> <li>licences for up to 20 days.</li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Failure to demand proof of age.	YLA 17, 18, 22, 79, 80(1), 90(5), 95 YLR 9(1)(g)(h), 11, 12 Schedule IV	<ul> <li>\$500.00 to provider/server</li> <li>Suspension of liquor licences for up to 4 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>Suspension of liquor licences for up to 12 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>Suspension of liquor licences for up to 20 days.</li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
			Over Ser	vice		
Provide Liquor to an intoxicated person	YLA 17, 18, 22, 79, 80(1), 93, 95.3, YLR 9(1)(b)(g)(h)	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to intoxicated person</li> <li>Suspension of liquor licences for up to 7 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to intoxicated person</li> <li>Suspension of liquor licences for up to 18 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to Intoxicated         Person     </li> <li>Suspension of liquor         licences for up to 30 days.     </li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Up to \$10,000 fine and or up to 12 months imprisonment. If Corporation: up to \$20,000
Intoxicated person in licensed premises.	Schedule IV YLA 17, 18, 22, 70(1)(a), 79, 80(1), 91(1), 95, 95.4	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to intoxicated person</li> <li>Suspension of liquor licences for up to 4 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to intoxicated person</li> <li>Suspension of liquor licences for up to 12 days.</li> </ul>	<ul> <li>\$500.00 to provider/server</li> <li>\$200.00 to Intox. Person</li> <li>Suspension of liquor licences for up to 30 days.</li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Up to \$2,000 fine and or up to 30 days imprisonment. If Corporation: up to \$5,000

<sup>\*\$200.00</sup> and \$500.00 amounts listed above refer to tickets issued under the Summary Convictions Act and do not include the applicable 15% Victim Surcharge (Crime Prevention And Victim Services Trust Act Section 11).

Contravention	Legislative	1 <sup>st</sup> Offence	2 <sup>nd</sup> Offence	3 <sup>rd</sup> Offence	Subsequent Offences	Referral for Prosecution
	Reference	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence this could be applied as early as the 1st offence)	(Dependent on circumstances of offence)
	YLR 9(1)(g)(h)					
	Schedule IV					
			Bootlegg	ging		
Bootlegging	YLA 17, 18, 22,	Suspension of liquor licences for	Suspension of liquor licences	Suspension of liquor	Referral to the Yukon Liquor	On First Offence: Up to \$25,000 fine and
(licensee)	24(4), 74, 75, 78, 79, 80(1), 95, 95.1	up to 10 days.  • Referral for Prosecution	for up to 30 days.  • Referral for Prosecution	<ul> <li>licences for up to 60 days.</li> <li>Referral for prosecution</li> <li>Referral to the Yukon</li> <li>Liquor Board of Directors</li> </ul>	Board of Directors for cancelation of liquor licence(s).	or up to 12 months imprisonment.  If Corporation: Between \$10,000 and \$50,000  On each subsequent Offence: Up to
	YLR 9(1)(g)(h)(i)			for cancelation of liquor licence(s).		\$50,000 fine and or up to 12 months imprisonment.  If Corporation: Between \$10,000 and \$100,000.
Bootlegging (non- licensed)	YLA 17, 18, 22, 24(4), 74, 75, 78, 79, 95, 95.1	Referral for Prosecution.	Referral for Prosecution.	Referral for Prosecution.	Referral for Prosecution.	Same as Above
		M	aintenance of Ord	er and Conduct		
Over maximum occupancy	YLA 17, 18, 22, 53(9)(11), 79, 80(1), 95, 96 YLR 5, 9(1)(d)(g)(h)	<ul> <li>Warning letter</li> <li>report to local fire authority</li> <li>Suspension of liquor licences for up to 4 days.</li> </ul>	<ul> <li>Warning letter</li> <li>report to local fire authority</li> <li>Suspension of liquor licences for up to 10 days.</li> </ul>	<ul> <li>Warning letter</li> <li>report to local fire authority</li> <li>Suspension of liquor licences for up to 14 days.</li> </ul>	A report to the local fire authority and a referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Obstruction of an inspector or peace officer	YLA 17, 18, 22, 53(12), 79(8), 80(1), 95, 96 YLR 9(1)(g)(h), 32(1),	Suspension of liquor licences for up to 7 days.	Suspension of liquor licences for up to 14 days.	<ul> <li>Suspension of liquor licences for up to 30 days.</li> <li>Referral to Yukon Liquor Board of Directors for cancelation of liquor licence(s).</li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
Permit riotous, violent or disorderly Conduct in premises	YLA 17, 18, 22, 53(19)(20), 70(1)(b), 79, 80(1), 96	Suspension of liquor licences for up to 5 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 20 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above

<sup>\*\$200.00</sup> and \$500.00 amounts listed above refer to tickets issued under the Summary Convictions Act and do not include the applicable 15% Victim Surcharge (Crime Prevention And Victim Services Trust Act Section 11).

Contravention	Legislative Reference	1 <sup>st</sup> Offence (Dependent on circumstances of offence)	2 <sup>nd</sup> Offence (Dependent on circumstances of offence)	3 <sup>rd</sup> Offence (Dependent on circumstances of offence)	Subsequent Offences (Dependent on circumstances of offence this could be applied as early as the 1st offence)	Referral for Prosecution (Dependent on circumstances of offence)
	YLR 9(1)(g)(h) (2)(a), 33(1)					
		Mainter	nance of Order and	l Conduct - Contii	nued	
Staff consuming while on duty	YLA 17, 18, 22, 79, 80(1), 96 YLR 9(1)(f)(g)(h) Schedule IV	<ul> <li>\$500.00 to staff member</li> <li>Suspension of liquor licences for up to 3 days.</li> </ul>	<ul> <li>\$500.00 to staff member</li> <li>Suspension of liquor licences for up to 6 days.</li> </ul>	<ul> <li>\$500.00 to staff member</li> <li>Suspension of liquor licences for up to 12 days.</li> </ul>	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Unauthorized Gambling in a licensed premises	YLA 17, 18, 22 70(1)(c)(d), 79, 80(1), 96	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 14 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
Unauthorized Liquor	YLA 17, 18, 22, 76, 77, 79, 80(1) 88, 96 YLR 9(1)(g)(h)(i), 17	Suspension of liquor licences for up to 5 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 20 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
liquor taken or consumed off premises	YLA 17, 18, 22, 72, 79, 80(1), 96 YLR 9(1)(c)(g)(h)	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 20 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
Business Practices						

Contravention	Legislative		1 <sup>st</sup> Offence		2 <sup>nd</sup> Offence		3 <sup>rd</sup> Offence	Subsequent Offences	Referral for Prosecution
	Reference		(Dependent on circumstances of offence)		(Dependent on circumstances of offence)	(	Dependent on circumstances of offence)	(Dependent on circumstances of offence this could be applied as early as the 1st offence)	(Dependent on circumstances of offence)
Service of spirits less than one fluid ounce.	YLA 17, 18, 22, 79, 80(1), 96 YLR 9(1)(g)(h), 20(1), 22(1), 23(1), 24(1)	•	Suspension of liquor licences for up to 2 days.	•	Suspension of liquor licences for up to 4 days.	•	Suspension of liquor licences for up to 8 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Unauthorized dispensing practices	YLA 17, 18, 22, 79, 80(1), 96 YLR 9(1)(g)(h), 20(1), 22(1), 23(1), 24(1), 25(1)	•	Suspension of liquor licences for up to 2 days.	•	Suspension of liquor licences for up to 4 days.	•	Suspension of liquor licences for up to 8 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
				В	usiness Practices	; <b>–</b>	Continued		
Posting of licences, signs and public notices.	YLA 17, 18, 22, 50(5) 71, 79, 80(1), 82, 96 YLR 9(1)(g)(h), 20(1), 21, 23.01(3)(b), 24(6)	•	Suspension of liquor licences for up to 4 days.	•	Suspension of liquor licences for up to 4 days.	•	Suspension of liquor licences for up to 4 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
Food Not Available	YLA 17, 18, 22, 38(3), 39(1), 79, 80(1), 96 YLR 9(1)(g)(h), 14.02, 14.03(1), 16(4), 37(15), 38(12),	•	Suspension of liquor licences for up to 2 days.	•	Suspension of liquor licences for up to 4 days.	•	Suspension of liquor licences for up to 8 days	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above

<sup>\*\$200.00</sup> and \$500.00 amounts listed above refer to tickets issued under the Summary Convictions Act and do not include the applicable 15% Victim Surcharge (Crime Prevention And Victim Services Trust Act Section 11).

Contravention	<u>Legislative</u>	1 <sup>st</sup> Offence	2 <sup>nd</sup> Offence	3 <sup>rd</sup> Offence	Subsequent Offences	Referral for Prosecution
	Reference	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence this could be applied as early as the 1st offence)	(Dependent on circumstances of offence)
Use of Liquor Primary premises after closing time	YLA 17, 18, 22, 79, 80(1), 96 YLR 9(1)(g)(h), 13, 14	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 14 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
			Recreation and C	lub Licences		
Registry Book violations	YLA 17, 18, 22, 45(3), 50(4)(6), 51(1)(2), 79, 80(1), 96 YLR 9(1)(g)(h), 35(1), 36(1),	Suspension of liquor licences for up to 2 days.	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 8 days	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment. If Corporation: up to \$5,000 On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment. If Corporation: up to \$10,000
Providing liquor to a non-member	YLA 17, 18, 22, 45(3), 50(3), 79, 80(1), 96 YLR 9(1)(g)(h), 35(1), 36.01(3)(a)(e)(f),	Suspension of liquor licences for up to 2 days.	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 8 days	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment. If Corporation: up to \$5,000 On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment. If Corporation: up to \$10,000
	<u> </u>	Off-F	Premises Liquor an	d RV Park Licence	es	
RV Park providing liquor to anyone other than a bona fide guest.	YLA 17, 18, 22, 47.1, 79, 80(1), 96 YLR 9(1)(g)(h), 36.04	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 20 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment. If Corporation: up to \$5,000 On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment. If Corporation: up to \$10,000

<sup>\*\$200.00</sup> and \$500.00 amounts listed above refer to tickets issued under the Summary Convictions Act and do not include the applicable 15% Victim Surcharge (Crime Prevention And Victim Services Trust Act Section 11).

Contravention	Legislative	1 <sup>st</sup> Offence	2 <sup>nd</sup> Offence	3 <sup>rd</sup> Offence	Subsequent Offences	Referral for Prosecution
	Reference	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence)	(Dependent on circumstances of offence this could be applied as early as the 1st offence)	(Dependent on circumstances of offence)
Charging over 30% mark up on off-premises liquor	YLA 17, 18, 22, 79, 80(1), 96 YLR 9(1)(g)(h), 18(1), 52	<ul> <li>If over by less than \$1 - a warning letter.</li> <li>If over by more than \$1:         Suspension of liquor licences for up to 2 days.     </li> </ul>	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
Off Premises liquor sold on unapproved credit	YLA 17, 18, 22, 79, 80(1), 96 YLR 9(1)(g)(h), 27(4)	Suspension of liquor licences for up to 2 days.	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
Room Service Violations	YLA 17, 18, 22, 68(1), 79, 80(1), 96 YLR 9(1)(g)(h), 28, 28.1,	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 10 days.	Suspension of liquor licences for up to 20 days.	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	Same as Above
	<u> </u>		Gener	al		
Failure to leave a licensed premises or store upon request.	YLA 17, 18, 22, 90(6), 96 YLR 9(2)(3),	<ul><li>\$200.00</li><li>Barring order.</li></ul>	<ul><li>\$200.00</li><li>Barring order.</li></ul>	<ul><li>\$200.00</li><li>Barring order.</li></ul>	<ul><li>\$200.00</li><li>Barring order.</li></ul>	Police to be contacted for assistance if more than one breach.
	Schedule IV					

<sup>\*\$200.00</sup> and \$500.00 amounts listed above refer to tickets issued under the Summary Convictions Act and do not include the applicable 15% Victim Surcharge (Crime Prevention And Victim Services Trust Act Section 11).

Contravention	<u>Legislative</u> <u>Reference</u>	1st Offence (Dependent on circumstances of offence)	2 <sup>nd</sup> Offence (Dependent on circumstances of offence)	3 <sup>rd</sup> Offence (Dependent on circumstances of offence)	Subsequent Offences  (Dependent on circumstances of offence this could be applied as early as the 1st offence)	Referral for Prosecution (Dependent on circumstances of offence)
Unauthorized Import	YLA 17, 18, 22, 73, 96 YLR 2.1, Schedule IV	• \$200.00	• \$200.00	• \$200.00	• \$200.00	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Consumption of Liquor in a Restricted Public Place.	YLA 17, 18, 22, 113(1) Schedule IV	• \$200.00	• \$200.00	• \$200.00	• \$200.00	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Consumption of Liquor in a Motor Vehicle.	YLA 17, 18, 22, 89(1) Schedule IV	• \$500.00	• \$500.00	• \$500.00	• \$500.00	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000
Contraventions Not Listed	YLA 17, 18, 22, 95, 96 YLR 9(1)(g)(h)	Suspension of liquor licences for up to 2 days.	Suspension of liquor licences for up to 4 days.	Suspension of liquor licences for up to 8 days	Referral to the Yukon Liquor Board of Directors for cancelation of liquor licence(s).	On First Offence: Up to \$2,000 fine and or up to 6 months imprisonment.  If Corporation: up to \$5,000  On each subsequent Offence: Up to \$3,000 fine and or up to 12 months imprisonment.  If Corporation: up to \$10,000

<sup>\*\$200.00</sup> and \$500.00 amounts listed above refer to tickets issued under the Summary Convictions Act and do not include the applicable 15% Victim Surcharge (Crime Prevention And Victim Services Trust Act Section 11).

Title: LIQUO	R TASTING/SAMPLING	TAB 16		
Issue:	Consumer tastings are allowed in all licensed prem	nises.		
Authority:	Liquor Act (Yukon) sections 8(1)(m) and 8(2) Regulation 36.03(4)			
Background:	None.			
Policy:	These tastings may be advertised by licensees without YLC approval.  The holder of a liquor manufacturer's licence may, as a condition on the licence, offer samples free of charge to a person at least 19 year of age located within their licensed premises for consumption within that premises.  The maximum sample sizes for liquor manufacturer's licence (licence condition) shall be:  Beer, cider, coolers			

Title: APPRO	OVAL IN PRINCIPLE (AIP)	TAB 17			
Issue:	Procedure for consideration of applications for a liquor licence requiring an Approval in Principle (AIP).				
Authority:	Liquor Act (Yukon) section 27, 33, 34				
Background:	Sections 27 to 33 of the <i>Liquor Act</i> refers to the following sections:				
	<ul> <li>(27) Reference of application to the board</li> <li>(28) Consideration of application by the board</li> <li>(29) Objections</li> <li>(30) Recommendation for the granting of a legal (31) Hearing</li> <li>(32) Decision of the board</li> <li>(33) Place of hearing and notice of decision</li> </ul>				
	Section 34 <i>Liquor Act</i> refers to the above sections and speaks to the application process for premises that are not yet constructed or are under construction.				
Policy:	Section 34 of the <i>Liquor Act</i> outlines the application not yet constructed or are under construction:	process for premises that are			
	<ul> <li>34(1): A liquor application may be made for premises that have not been constructed or completed.</li> <li>34(2): The provisions of the <i>Liquor Act</i> sections 27 to 33 will apply same manner as for applications concerning fully constructed premise except that the board will only render an AIP.</li> </ul>				
	An AIP from the board under section 34 of the <i>Liquor Act</i> is not a liquor licence and does not enable the applicant to allow the sale, service, consumption of liquor on the premises under construction				
	34(3): If the board approves an AIP for the p the applicant has up to two years from the da to request a liquor licence without having to	ate of the board approval letter			
	If after Board grants an AIP, and should with of a licence, the business model or business from the application presented to Board; a notice shall be required.	operation intention changes			
	In order to then to obtain the licence, the applicant must within tw of the date of the board approval letter provide proof that the pren have been constructed in accordance with the plans originally sub the board. The applicant must provide to the President an inspecti				

and other documents to show that **all requirements and conditions** for the approval of the licence have been met including requirements and conditions include those mandated by other agencies such as Health, Fire, Building Inspections, Municipalities, or the Government of Yukon.

34(4) If the applicant does not complete the provisions of section 34(3), they shall make a new liquor licence application for the completed premises. This new application will have assessed an application fee, and requires a new public notice of application.

#### **AIP Process:**

If an application is made under section 34(3) to request that the board move from an AIP (i.e. a provisional decision) to a liquor licence, the process shall be:

- A liquor inspector submits an email submission with information regarding the licence request to the board Secretary to ask that the board review the request for a liquor licence.
- The board Secretary provides the liquor inspector's submission to the board for their review at the next board meeting; or in advance for approval by email. The board will decide whether to approve the request to move from AIP to a liquor licence. A quorum of three (3) board members is required to approve the request to move from an AIP to a liquor licence
- The Secretary will prepare a draft board decision with the board for approval based on their direction. The decision document will be given a current decision document number corresponding to the calendar year and numbered from the beginning of the calendar year.
- The Secretary will share the board's decision with the Director of Licensing and Inspections services (LIS), and the President of the Yukon Liquor Corporation (YLC).
- The Licensing & Inspection Branch will share decision with applicant; and if applicable, provide the new license.
- Decision will be posted to the YLC website. There is no formal letter required from the board.